

### Polarization of EM Waves

The polarization of a uniform plane wave is a measure of how its E-field vector varies with time. It describes the **time-varying** behavior of E-field vector **at a given point** in space.

$$\begin{aligned}\underline{E}(r,t) &= \text{Re}\{\hat{\underline{E}}(x,y,z)e^{j\omega t}\} \\ &= \text{Re}\{\hat{\underline{E}}_0 e^{-j(k\cdot r - \omega t)}\} \\ \hat{\underline{E}}_0 &= \hat{E}_{0x} \underline{a}_x + \hat{E}_{0y} \underline{a}_y \\ &= |\hat{E}_{0x}| e^{j\theta_x} \underline{a}_x + |\hat{E}_{0y}| e^{j\theta_y} \underline{a}_y\end{aligned}$$

a uniform plane wave propagating in the z direction

linear polarization

$$\begin{aligned}\underline{E}(z,t) &= \text{Re}\{\hat{\underline{E}}(z)e^{j\omega t}\} \\ &= \text{Re}\left\{\left(\hat{E}_{0x} e^{-jkz} \underline{a}_x + \hat{E}_{0y} e^{-jkz} \underline{a}_y\right) e^{j\omega t}\right\} \\ &= \text{Re}\left\{\left(|\hat{E}_{0x}| e^{j\theta_x} \underline{a}_x + |\hat{E}_{0y}| e^{j\theta_y} \underline{a}_y\right) e^{j(\omega t - kz)}\right\} \\ &= \text{Re}\left\{\left(|\hat{E}_{0x}| \underline{a}_x \pm |\hat{E}_{0y}| \underline{a}_y\right) e^{j(\omega t - kz + \theta_x)}\right\} \\ &= \text{Re}\left\{\left(|\hat{E}_{0x}| \underline{a}_x \pm |\hat{E}_{0y}| \underline{a}_y\right) e^{j(\omega t - kz + \theta_x)}\right\} \quad \theta_y - \theta_x = \pm m\pi, m = 0,1,2,\dots \\ &= \left(|\hat{E}_{0x}| \underline{a}_x \pm |\hat{E}_{0y}| \underline{a}_y\right) \cos(\omega t - kz + \theta_x) \\ &= E_x \underline{a}_x \pm E_y \underline{a}_y\end{aligned}$$

$$\begin{cases} E_x(z,t) = |\hat{E}_{0x}| \cos(\omega t - kz + \theta_x) \\ E_y(z,t) = |\hat{E}_{0y}| \cos(\omega t - kz + \theta_x) \end{cases}$$

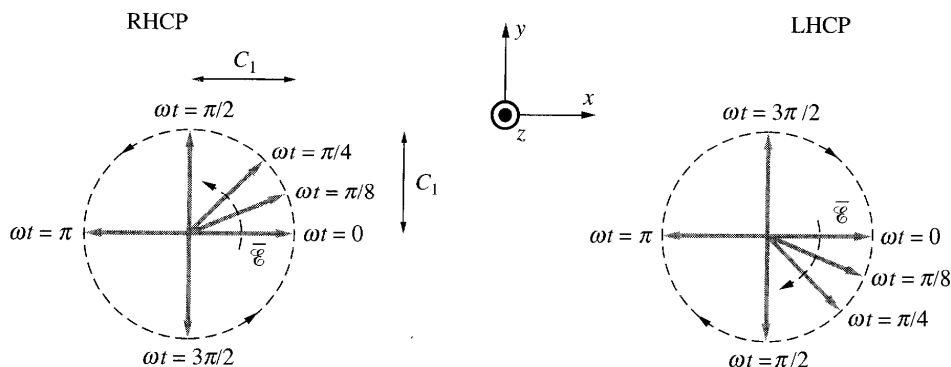
circular polarization

$$\begin{aligned}\underline{E}(z,t) &= \text{Re}\{\hat{\underline{E}}(z)e^{j\omega t}\} \\ &= \text{Re}\left\{\left(|\hat{E}_{0x}| e^{j\theta_x} \underline{a}_x + |\hat{E}_{0y}| e^{j\theta_y} \underline{a}_y\right) e^{j(\omega t - kz)}\right\} \\ &= \text{Re}\left\{\left(|\hat{E}_{0x}| \underline{a}_x + |\hat{E}_{0y}| e^{j(\theta_y - \theta_x)} \underline{a}_y\right) e^{j(\omega t - kz + \theta_x)}\right\} \quad |\hat{E}_{0x}| = |\hat{E}_{0y}| \\ &= \text{Re}\left\{\left(|\hat{E}_{0x}| \underline{a}_x + e^{\pm j\pi/2} |\hat{E}_{0x}| \underline{a}_y\right) e^{j(\omega t - kz + \theta_x)}\right\} \quad \theta_y - \theta_x = \pm\pi/2 + 2m\pi, \\ &= \text{Re}\left\{\left(|\hat{E}_{0x}| \underline{a}_x \pm j |\hat{E}_{0x}| \underline{a}_y\right) e^{j(\omega t - kz + \theta_x)}\right\} \quad m = 0, \pm 1, \pm 2, \dots \\ &= |\hat{E}_{0x}| \cos(\omega t - kz + \theta_x) \underline{a}_x \mp |\hat{E}_{0x}| \sin(\omega t - kz + \theta_x) \underline{a}_y \\ &= E_x \underline{a}_x \mp E_y \underline{a}_y\end{aligned}$$

$$\begin{cases} E_x(z,t) = |\hat{E}_{0x}| \cos(\omega t - kz + \theta_x) \\ E_y(z,t) = |\hat{E}_{0y}| \sin(\omega t - kz + \theta_y) \end{cases}$$

$$|E|^2 = E_x^2 + E_y^2 = |\hat{E}_{0x}|^2$$

equation for a circle



$\theta_y - \theta_x = -\pi/2$  right-hand circularly polarized wave for forwarding waves

$$\underline{E}(z,t) = |\hat{E}_{0x}| \cos(\omega t - kz + \theta_x) \underline{a}_x + |\hat{E}_{0y}| \sin(\omega t - kz + \theta_x) \underline{a}_y$$

$\theta_y - \theta_x = \pi/2$  left-hand circularly polarized wave for forwarding waves

$$\underline{E}(z,t) = |\hat{E}_{0x}| \cos(\omega t - kz + \theta_x) \underline{a}_x - |\hat{E}_{0y}| \sin(\omega t - kz + \theta_x) \underline{a}_y$$

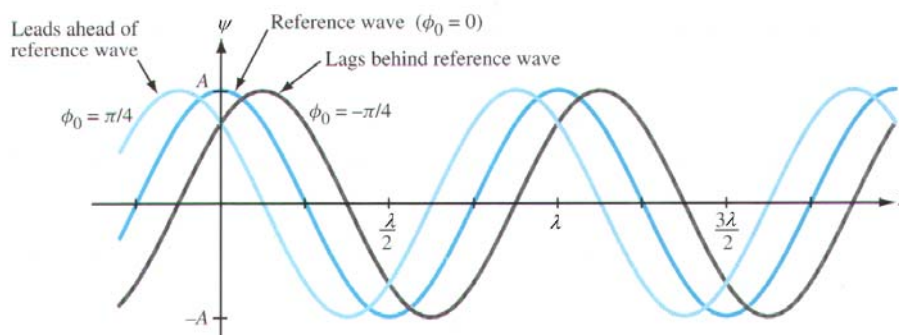
let  $z = 0, \theta_x = 0$   $\underline{E}(z,t) = |\hat{E}_{0x}| \cos(\omega t) \underline{a}_x - |\hat{E}_{0y}| \sin(\omega t) \underline{a}_y$

**[Comment]** IEEE convention, thumb pointing in the direction of propagation

$$e^{j\omega t}$$

Optics convention, thumb pointing to where the wave is coming from

$$e^{-j\omega t}$$



Purely comparing  $\cos\omega t$  function to  $\sin\omega t$  function,  $\cos\omega t$  function is leading  $\sin\omega t$  function by  $\pi/2$ ;

$\cos(\omega t + \theta)$  is leading  $\cos \omega t$  by  $\theta$ . For backwarding wave, whenever  $\theta_y - \theta_x = \pi/2$ , there is a right-hand circularly polarized wave; there is a left-hand circularly polarized wave when  $\theta_y - \theta_x = -\pi/2$ .

elliptical polarization

$$\begin{aligned} \underline{E}(z, t) &= \text{Re} \left\{ \hat{\underline{E}}(z) e^{j\omega t} \right\} \\ &= \text{Re} \left\{ \left( |\hat{E}_{0x}| e^{j\theta_x} \underline{a}_x + |\hat{E}_{0y}| e^{j\theta_y} \underline{a}_y \right) e^{j(\omega t - kz)} \right\} \\ &= |\hat{E}_{0x}| \cos(\omega t - kz + \theta_x) \underline{a}_x \pm |\hat{E}_{0y}| \cos(\omega t - kz + \theta_y) \underline{a}_y \\ &= E_x \underline{a}_x \pm E_y \underline{a}_y \end{aligned}$$

$$\begin{cases} \frac{E_x}{|\hat{E}_{0x}|} = \cos(\underbrace{\omega t - kz + \theta_x}_{=\tau}) = \cos \tau \cos \theta_x - \sin \tau \sin \theta_x \\ \frac{E_y}{|\hat{E}_{0y}|} = \cos(\omega t - kz + \theta_y) = \cos \tau \cos \theta_y - \sin \tau \sin \theta_y \end{cases}$$

$$\begin{cases} \frac{E_x}{|\hat{E}_{0x}|} \sin \theta_y - \frac{E_y}{|\hat{E}_{0y}|} \sin \theta_x = \cos \tau \sin(\underbrace{\theta_y - \theta_x}_{=\theta}) = \cos \tau \sin \theta \\ \frac{E_x}{|\hat{E}_{0x}|} \cos \theta_y - \frac{E_y}{|\hat{E}_{0y}|} \cos \theta_x = \sin \tau \sin(\theta_y - \theta_x) = \sin \tau \sin \theta \end{cases}$$

$$(A) \quad \left( \frac{E_x}{|\hat{E}_{0x}|} \right)^2 + \left( \frac{E_y}{|\hat{E}_{0y}|} \right)^2 - 2 \frac{E_x}{|\hat{E}_{0x}|} \frac{E_y}{|\hat{E}_{0y}|} \cos \theta = \sin^2 \theta, \quad \theta = \theta_y - \theta_x$$

$$\psi = \frac{1}{2} \tan^{-1} [\tan(2\alpha) \cos \theta], \quad \tan \alpha = \frac{|\hat{E}_{0y}|}{|\hat{E}_{0x}|}$$

$$\begin{cases} E_{x'} = E_x \cos \psi + E_y \sin \psi \\ E_{y'} = -E_x \sin \psi + E_y \cos \psi \end{cases}$$

$$\Rightarrow \left( \frac{E_{x'}}{A_{x'}} \right)^2 + \left( \frac{E_{y'}}{A_{y'}} \right)^2 = 1$$

$0 < \theta_y - \theta_x < \pi$  left-hand elliptically polarized wave for forwarding waves

$\pi < \theta_y - \theta_x < 2\pi$  right-hand elliptically polarized wave for forwarding waves

$$\begin{cases} E_{x'} = E_x \cos \psi + E_y \sin \psi \\ E_{y'} = -E_x \sin \psi + E_y \cos \psi \end{cases}$$

$$\left(\frac{E_{x'}}{A_{x'}}\right)^2 + \left(\frac{E_{y'}}{A_{y'}}\right)^2 = 1 \Rightarrow \begin{cases} E_{x'} = A_{x'} \cos(\omega t - kz + \theta') = A_{x'} \cos(\tau + \theta') \\ E_{y'} = A_{y'} \cos(\omega t - kz + \theta') = A_{y'} \cos(\tau + \theta') \end{cases}$$

$$\left(\frac{E_{x'}}{A_{x'}}\right)^2 + \left(\frac{E_{y'}}{A_{y'}}\right)^2 = 1$$

$$\Rightarrow \left(\frac{E_x \cos \psi + E_y \sin \psi}{A_{x'}}\right)^2 + \left(\frac{-E_x \sin \psi + E_y \cos \psi}{A_{y'}}\right)^2 = 1$$

$$\Rightarrow \left(\frac{\cos^2 \psi}{A_{x'}^2} + \frac{\sin^2 \psi}{A_{y'}^2}\right) E_x^2 + \left(\frac{\sin^2 \psi}{A_{x'}^2} + \frac{\cos^2 \psi}{A_{y'}^2}\right) E_y^2 - 2E_x E_y \sin \psi \cos \psi \left(\frac{1}{A_{y'}^2} - \frac{1}{A_{x'}^2}\right) = 1$$

Comparing with (A)

$$\Rightarrow \begin{cases} \frac{\cos^2 \psi}{A_{x'}^2} + \frac{\sin^2 \psi}{A_{y'}^2} = \frac{1}{|\hat{E}_{0x}|^2 \sin^2 \theta} & (a) \\ \frac{\sin^2 \psi}{A_{x'}^2} + \frac{\cos^2 \psi}{A_{y'}^2} = \frac{1}{|\hat{E}_{0y}|^2 \sin^2 \theta} & (b) \\ \sin \psi \cos \psi \left(\frac{1}{A_{y'}^2} - \frac{1}{A_{x'}^2}\right) = \frac{\cos \theta}{|\hat{E}_{0x}| |\hat{E}_{0y}| \sin^2 \theta} & (c) \end{cases}$$

$$\therefore \text{conservation of light intensity (energy)} \Rightarrow A_{x'}^2 + A_{y'}^2 = |\hat{E}_{0x}|^2 + |\hat{E}_{0y}|^2$$

$$(a) + (b) \Rightarrow \frac{A_{x'}^2 + A_{y'}^2}{A_{x'}^2 A_{y'}^2} = \frac{|\hat{E}_{0x}|^2 + |\hat{E}_{0y}|^2}{|\hat{E}_{0x}|^2 |\hat{E}_{0y}|^2 \sin^2 \theta} = \frac{A_{x'}^2 + A_{y'}^2}{|\hat{E}_{0x}|^2 |\hat{E}_{0y}|^2 \sin^2 \theta}$$

$$\Rightarrow A_{x'}^2 A_{y'}^2 = |\hat{E}_{0x}|^2 |\hat{E}_{0y}|^2 \sin^2 \theta$$

$$\Rightarrow A_{x'} A_{y'} = |\hat{E}_{0x}| |\hat{E}_{0y}| \sin \theta$$

$$\begin{cases} E_x = E_{x'} \cos \psi - E_{y'} \sin \psi \\ E_y = E_{x'} \sin \psi + E_{y'} \cos \psi \end{cases}$$

$$\left(\frac{E_x}{|\hat{E}_{0x}|}\right)^2 + \left(\frac{E_y}{|\hat{E}_{0y}|}\right)^2 - 2 \frac{E_x}{|\hat{E}_{0x}|} \frac{E_y}{|\hat{E}_{0y}|} \cos \theta = \sin^2 \theta$$

$$\begin{aligned}
&\Rightarrow \left( \frac{E_{x'} \cos \psi - E_{y'} \sin \psi}{|\hat{E}_{0x}|} \right)^2 + \left( \frac{E_{x'} \sin \psi + E_{y'} \cos \psi}{|\hat{E}_{0y}|} \right)^2 - \\
&2 \frac{E_{x'} \cos \psi - E_{y'} \sin \psi}{|\hat{E}_{0x}|} \frac{E_{x'} \sin \psi + E_{y'} \cos \psi}{|\hat{E}_{0y}|} \cos \theta = \sin^2 \theta \\
&\Rightarrow \left( \frac{\cos^2 \psi + \frac{\sin^2 \psi}{|\hat{E}_{0y}|^2} - \frac{2 \sin \psi \cos \psi \cos \theta}{|\hat{E}_{0x}| |\hat{E}_{0y}|}}{= \sin^2 \theta / A_x^2} \right) E_{x'}^2 + \left( \frac{\frac{\sin^2 \psi}{|\hat{E}_{0x}|^2} + \frac{\cos^2 \psi}{|\hat{E}_{0y}|^2} + \frac{2 \sin \psi \cos \psi \cos \theta}{|\hat{E}_{0x}| |\hat{E}_{0y}|}}{= \sin^2 \theta / A_y^2} \right) E_{y'}^2 \\
&+ 2 \left( \frac{-\sin \psi \cos \psi}{|\hat{E}_{0x}|^2} + \frac{\sin \psi \cos \psi}{|\hat{E}_{0y}|^2} - \frac{(\sin^2 \psi - \cos^2 \psi) \cos \theta}{|\hat{E}_{0x}| |\hat{E}_{0y}|} \right) E_{x'} E_{y'} = \sin^2 \theta \\
&\Rightarrow \left( \frac{A_{y'}^2}{|\hat{E}_{0x}|^2 |\hat{E}_{0y}|^2} \right) E_{x'}^2 + \left( \frac{A_{x'}^2}{|\hat{E}_{0x}|^2 |\hat{E}_{0y}|^2} \right) E_{y'}^2 = \sin^2 \theta \\
&\Rightarrow \left( \frac{E_{x'}}{A_{x'}} \right)^2 + \left( \frac{E_{y'}}{A_{y'}} \right)^2 = \frac{|\hat{E}_{0x}|^2 |\hat{E}_{0y}|^2 \sin^2 \theta}{A_{x'}^2 A_{y'}^2} = 1 \\
&\therefore \sin \psi \cos \psi \left( \frac{|\hat{E}_{0x}|^2 - |\hat{E}_{0y}|^2}{|\hat{E}_{0x}|^2 |\hat{E}_{0y}|^2} \right) = \frac{(\cos^2 \psi - \sin^2 \psi) \cos \theta}{|\hat{E}_{0x}| |\hat{E}_{0y}|} \\
&\Rightarrow \frac{1}{2} \sin 2\psi \left( \frac{|\hat{E}_{0x}|^2 - |\hat{E}_{0y}|^2}{|\hat{E}_{0x}|^2 |\hat{E}_{0y}|^2} \right) = \frac{\cos 2\psi \cos \theta}{|\hat{E}_{0x}| |\hat{E}_{0y}|} \\
&\Rightarrow \tan 2\psi = \frac{2 |\hat{E}_{0x}| |\hat{E}_{0y}| \cos \theta}{|\hat{E}_{0x}|^2 - |\hat{E}_{0y}|^2} \quad \Rightarrow \quad \tan 2\psi = 2 \tan 2\alpha \cos \theta \\
&\therefore \psi = \frac{1}{2} \tan^{-1} [\tan(2\alpha) \cos \theta]
\end{aligned}$$

$$\tan(x+y) = \frac{\tan x + \tan y}{1 - \tan x \tan y} \Rightarrow \tan 2\alpha = \frac{2 \tan \alpha}{1 - \tan^2 \alpha} = \frac{2 \frac{|\hat{E}_{0y}|}{|\hat{E}_{0x}|}}{1 - \left(\frac{|\hat{E}_{0y}|}{|\hat{E}_{0x}|}\right)^2} = \frac{2|\hat{E}_{0x}||\hat{E}_{0y}|}{|\hat{E}_{0x}|^2 - |\hat{E}_{0y}|^2}$$

$$\begin{cases} E_{x'} = A_{x'} \cos(\tau + \theta') = E_x \cos \psi + E_y \sin \psi \\ E_{y'} = A_{y'} \cos(\tau + \theta') = -E_x \sin \psi + E_y \cos \psi \end{cases}$$

$$\Rightarrow \begin{cases} A_{x'} (\cos \tau \cos \theta' - \sin \tau \sin \theta') = |\hat{E}_{0x}| (\cos \tau \cos \theta_x - \sin \tau \sin \theta_x) \cos \psi + \\ \quad |\hat{E}_{0y}| (\cos \tau \cos \theta_y - \sin \tau \sin \theta_y) \sin \psi \\ A_{y'} (\cos \tau \cos \theta' - \sin \tau \sin \theta') = -|\hat{E}_{0x}| (\cos \tau \cos \theta_x - \sin \tau \sin \theta_x) \sin \psi + \\ \quad |\hat{E}_{0y}| (\cos \tau \cos \theta_y - \sin \tau \sin \theta_y) \cos \psi \end{cases}$$

$$\Rightarrow \begin{cases} A_{x'} \cos \theta' \cos \tau - A_{x'} \sin \theta' \sin \tau = \left( |\hat{E}_{0x}| \cos \theta_x \cos \psi + |\hat{E}_{0y}| \cos \theta_y \sin \psi \right) \cos \tau - \\ \quad \left( |\hat{E}_{0x}| \sin \theta_x \cos \psi + |\hat{E}_{0y}| \sin \theta_y \sin \psi \right) \sin \tau \\ A_{y'} \cos \theta' \cos \tau - A_{y'} \sin \theta' \sin \tau = \left( |\hat{E}_{0x}| \sin \theta_x \sin \psi - |\hat{E}_{0y}| \sin \theta_y \cos \psi \right) \cos \tau - \\ \quad \left( |\hat{E}_{0x}| \cos \theta_x \sin \psi - |\hat{E}_{0y}| \cos \theta_y \cos \psi \right) \sin \tau \end{cases}$$

$$\Rightarrow \begin{cases} A_{x'} \cos \theta' = |\hat{E}_{0x}| \cos \theta_x \cos \psi + |\hat{E}_{0y}| \cos \theta_y \sin \psi & (1) \\ A_{x'} \sin \theta' = |\hat{E}_{0x}| \sin \theta_x \cos \psi + |\hat{E}_{0y}| \sin \theta_y \sin \psi & (2) \\ A_{y'} \cos \theta' = |\hat{E}_{0x}| \sin \theta_x \sin \psi - |\hat{E}_{0y}| \sin \theta_y \cos \psi & (3) \\ A_{y'} \sin \theta' = |\hat{E}_{0x}| \cos \theta_x \sin \psi - |\hat{E}_{0y}| \cos \theta_y \cos \psi & (4) \end{cases}$$

$$\begin{aligned} (1)^2 + (2)^2 &\Rightarrow \left\{ \begin{aligned} A_{x'}^2 &= |\hat{E}_{0x}|^2 \cos^2 \psi + |\hat{E}_{0y}|^2 \sin^2 \psi + 2|\hat{E}_{0x}||\hat{E}_{0y}| \sin \psi \cos \psi \cos \vartheta \\ (3)^2 + (4)^2 &\Rightarrow \left\{ \begin{aligned} A_{y'}^2 &= |\hat{E}_{0x}|^2 \sin^2 \psi + |\hat{E}_{0y}|^2 \cos^2 \psi - 2|\hat{E}_{0x}||\hat{E}_{0y}| \sin \psi \cos \psi \cos \vartheta \end{aligned} \right. \end{aligned} \right. \end{aligned}$$

$$\Rightarrow A_{x'}^2 + A_{y'}^2 = |\hat{E}_{0x}|^2 + |\hat{E}_{0y}|^2$$